

ED&F-00076780



File Configuration Account Master Treasury Settlement Trading General Ledger Positions Price Operations Report Window Help

Journal ID 284958 Retrieve BY: Journal ID Origin System

## Journal Header

<b>Journal ID</b>	<b>As Of Date</b>	<b>Entry Date</b>	<b>As of Post Date</b>	<b>Post Date</b>	<b>Type</b>	<b>Source</b>	<b>Origin</b>
284958	01-05-2014	01-05-2014	01-05-2014	01-05-2014	User Adjustment	ORG	Import
<b>Description</b>						<b>Reverse ID</b>	<b>Status</b>
CASH DIV - MONROE CO - PO 29/04/14						(None)	Posted
<b>External ID</b>						<b>Reversal Type</b>	<b>Reverse Status</b>
						Never	Not Applicable
<b>Ancestor External ID</b>						<b>Ancestor ID</b>	<b>Reverse Date</b>
							EDT

New Journal

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Del Reversal

Journal Detail Lines | Audit Trail Information | Authorization

## Detail Lines (2)

Show Groups

Seq	Asset	Country	Cur	Amount	Account ID	Account Name	Layer	Invt Type	Cost
1	1 DKK	(None)	DKK	1,177,125.0000 Dr	CO:CORPAC-CORPA	CORPORATE ACTIONS CONTROL AC	Cash	Unclassified Inventory Type	
2	2 DKK	(None)	DKK	1,177,125.0000 Cr	CC:RIVER -AS OC	RIVER 100 ASOCIATE DEFINED BENEFIT PLAN		Unclassified Inventory Type	





E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, SV Holdings LLC Retirement Plan - 901 Aiken Road, Versailles, Kentucky, 40383, USA, was holding the below security over the dividend date.

Security Description:	D/S Norden A/S
ISIN:	DK0060083210
SEDOL:	B1WP656
Ex Date:	24/04/2014
Record Date:	28/04/2014
Pay Date:	29/04/2014
Quantity:	179,000.00
Gross Div Rate:	5
Amount Received:	653,350.00
WHT Suffered:	241,650.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to SV Holdings LLC Retirement Plan. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein - 61 Broadway, Suite 1915, New York, 10006, USA, was holding the below security over the dividend date.

Security Description:	D/S Norden A/S
ISIN:	DK0060083210
SEDOL:	B1WP856
Ex Date:	24/04/2014
Record Date:	28/04/2014
Pay Date:	29/04/2014
Quantity:	215,000.00
Gross Div Rate:	5
Amount Received:	784,750.00
WHT Suffered:	290,250.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Tveter LLC Pension Plan - 123 Colonial Road, New Canaan, Connecticut, 06840, USA, was holding the below security over the dividend date.

Security Description:	D/S Norden A/S
ISIN:	DK0060083210
SEDOL:	B1WP656
Ex Date:	24/04/2014
Record Date:	28/04/2014
Pay Date:	29/04/2014
Quantity:	240,000.00
Gross Div Rate:	5
Amount Received:	876,000.00
WHT Suffered:	324,000.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Tveter LLC Pension Plan. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

ExtRefID	J	K	M	O	X	Y	Z	AA	AC	AH	AI	AT	AV	BG	BH
EQ30992-113540		2014-04-24 00:00:00.000	SettlementDate	TradeAccount	TradeCurrency	TicketState	TradeTransaction	Quantity	ValueAmount	TradeProceeds	FinalPrice	AssetAlias4	Counterparty	Location1	Location2
EQ30993-113541		2014-04-24 00:00:00.000	2014-04-29 00:00:00.000	1020030EDFMCMEDFMC	DKK	ORG	BUY	110000.0000	110000.0000	24796728.00	225.4248000000	DNORD DC	1020030EDFMCMEDFMC	JPM DUBAI	JPM DUBAI
EQ30993-113541		2014-04-24 00:00:00.000	2014-04-29 00:00:00.000	1020035RISKPRICBCO	DKK	ORG	BUY	110000.0000	110000.0000	24796728.00	225.4248000000	DNORD DC	1020010EDFUBEDFDU	JPM DUBAI	JPM DUBAI
EQ30993-113541		2014-04-24 00:00:00.000	2014-04-29 00:00:00.000	1020010EDFUBEDFDU	DKK	ORG	SEL	110000.0000	110000.0000	24796728.00	225.4248000000	DNORD DC	102003SRISKPRICBCO	JPM DUBAI	JPM DUBAI
EQ31043-113674		2014-04-24 00:00:00.000	2014-04-29 00:00:00.000	1020035RISKPRICBCO	DKK	ORG	SEL	110000.0000	110000.0000	24796728.00	225.4248000000	DNORD DC	102003SRISKPRICBCO	DEN-27%	DEN-27%



Message

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**From:** ROSS LIDDARD [rliddard@edfmancapital.com]  
**Sent:** 24/04/2014 11:34:35  
**BCC:** OLIVER BOTTOMLEY [obottomley@edfmancapital.com]  
**Subject:** Bloomberg: Hello Oli,

**Greeting:** EQUITY FINANCE BROKER 0203 580 7639 07789 263 785 {B9} {#L}

---

Hello Oli,

To confirm ED&F MAN (#BNP 1) SELLS the following:

110,000 BNORD DC (DK0060083210) @ DKK 225.4248


TRADE DATE: 24-APR

VALUE DATE: 29-APR

Thanks, Ross

---

**Sent By :**

 **ROSS LIDDARD**, [rliddard@edfmancapital.com](mailto:rliddard@edfmancapital.com), [RLIDDARD3@Bloomberg.net](mailto:RLIDDARD3@Bloomberg.net), E D & F MAN CAPITAL

**Recipients :**

 **OLIVER BOTTOMLEY**, [obottomley@edfmancapital.com](mailto:obottomley@edfmancapital.com), [OBOTTOMLEY2@Bloomberg.net](mailto:OBOTTOMLEY2@Bloomberg.net), E D & F MAN CAPITAL

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## Message

**From:** JAMIE DUGGAN [JDUGGAN10@Bloomberg.net]  
**Sent:** 24/04/2014 12:43:55  
**BCC:** OLIVER BOTTOMLEY [obottomley@edfmancapital.com]  
**Subject:** Bloomberg; Oli, To Confirm

**Greeting:** GLOBAL EQUITY FINANCE Mo:07500 786 717

Oli, To Confirm

ED&F Man SELLS the following T+3 Vd 29th

DNORD DC 750,000shs @ DKK 225.4248

CFE will MiFID report using otc rules  
 Many thanks for the trade.  
 Jamie

## Sent By :

**B JAMIE DUGGAN**, [JDUGGAN10@Bloomberg.net](mailto:JDUGGAN10@Bloomberg.net), CANTOR FITZGERALD EU

## Recipients :

**B OLIVER BOTTOMLEY**, [obottomley@edfmancapital.com](mailto:obottomley@edfmancapital.com), [OBOTTOMLEY2@Bloomberg.net](mailto:OBOTTOMLEY2@Bloomberg.net), E D & F MAN CAPITAL

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Message

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**From:** Mina, Sara (LDN) [smina@edfmancapital.com]  
**Sent:** 24/04/2014 09:26:58  
**To:** Bottomley, Oliver (LDN) [obottomley@edfmancapital.com]; LDN-EQUITYFINANCE-TRADS-DL [LDN-EQUITYFINANCE-TRADS-DL@edfmancapital.com]  
**CC:** fireland@edfmancapital.com; mhoward@edfmancapital.com; vfoster@edfmancapital.com; smina@edfmancapital.com; cscanlan@edfmancapital.com  
**Subject:** RE: NOVOB DC correct to DNORD DC

Please note correct stock is DNORD DC

---

**From:** Bottomley, Oliver (LDN)  
**Sent:** 24 April 2014 10:20  
**To:** Mina, Sara (LDN); LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** RE: NOVOB DC

Hi Sara,

Will take a look and get back to you.

Thanks,  
Oli

---

**From:** Mina, Sara (LDN)  
**Sent:** 24 April 2014 10:05  
**To:** LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** NOVOB DC

Hi guys,

Looking at the funding I can see that we need to rehypothecate Acer's DNORD position of 860K shares.

Can you let me know if you finance this position?

Thanks

Sara Mina  
Equity Finance Trader

ED&F Man Capital Markets Limited  
Cottons Centre, Hay's Lane, London, SE1 2QE  
Direct: +44 (0)203 580 7629 | Desk: +44 (0)203 580 7625 | Mobile: +44 (0)7810867085  
[smina@edfmancapital.com](mailto:smina@edfmancapital.com) | [www.edfman.com](http://www.edfman.com)

## Message

**From:** ROSS LIDDARD [rliddard@edfmancapital.com]  
**Sent:** 24/04/2014 11:23:07  
**BCC:** CHRIS HENSTOCK [chris.henstock@edfman.com]; CHENSTOCK [CHENSTOCK@EDFMPT.AE]  
**Subject:** Bloomberg; Re:Hi Paul, I am looking to BUY up to 110,000shs DNORD DC today,

**Greeting:** EQUITY FINANCE BROKER 0203 580 7639 07789 263 785 {B9} {#L}


Afternoon Chris, checking for interest. Thanks

----- Original Message -----

**From:** CHRIS HENSTOCK (ED&F MAN PROFESSIONA)  
**At:** Apr 24 2014 12:22:31

Hi Paul, I am looking to BUY up to 110,000shs DNORD DC today, T+3, can you help? Ideally looking to trade at Dkk 225.4248 if possible.  
 Regards,  
 Chris.

## Sent By :

 **ROSS LIDDARD**, [rliddard@edfmancapital.com](mailto:rliddard@edfmancapital.com), [RLIDDARD3@Bloomberg.net](mailto:RLIDDARD3@Bloomberg.net), E D & F MAN CAPITAL

## Recipients :

 **CHRIS HENSTOCK**, [chris.henstock@edfman.com](mailto:chris.henstock@edfman.com), [CHENSTOCK2@Bloomberg.net](mailto:CHENSTOCK2@Bloomberg.net), ED&F MAN PROFESSIONA

 **CHENSTOCK**, [CHENSTOCK@EDFMPT.AE](mailto:CHENSTOCK@EDFMPT.AE), Unknown Company

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Message

**From:** Mina, Sara (LDN) [smina@edfmancapital.com]  
**Sent:** 24/04/2014 10:29:53  
**To:** Henstock, Chris (DUB) [chenstock@edfmpt.ae]  
**Subject:** RE: Trade  
**Attachments:** Trade Confirmation\_ 750k DNORD DC (2.84 KB).msg

Confirm attached Chris, thanks

---

**From:** Henstock, Chris (DUB)  
**Sent:** 24 April 2014 11:05  
**To:** Mina, Sara (LDN)  
**Subject:** RE: Trade

Hi Sara,

Sorry to chase, have you had any luck here?

Regards,

Chris.

---

**From:** Mina, Sara (LDN)  
**Sent:** 24 April 2014 12:43  
**To:** Henstock, Chris (DUB)  
**Subject:** RE: Trade

Hi Chris,

Looking for liquidity now.

Thanks,  
Sara

---

**From:** Henstock, Chris (DUB)  
**Sent:** 24 April 2014 09:40  
**To:** Mina, Sara (LDN)  
**Subject:** Trade

Hi Sara,

I am looking to BUY up to 750,000shs DNORD DC today, T+3, can you help? Ideally looking to trade at Dkk 229.2045 if possible.

Regards,

Chris.

Chris Henstock  
Equity Finance Trader

E D & F Man Professional Trading (Dubai) Limited



Dubai International Financial Centre  
Office 14, Level 15, The Gate, P.O. Box 121208, Dubai, UAE  
Direct: +971 4 401 9676 | Mobile: +971 (0) 527 275463

[chenstock@edfmpt.ae](mailto:chenstock@edfmpt.ae) | [www.edfman.com](http://www.edfman.com)

COUNTRY: DK

PAY DATE: 29/04/2014

FEE: 0.00

- DE 284981

01/05 299442  
14/05 289739

STP CLIENT ACCOUNT	SWP CLIENT NAME	DAYS	SWAP LONG	SWAP SHORT	PARALL	RECEIVABLE
SWP TOTALS		D		TOTALS		D.GD
D		D		D		D
MAILED:	DESK SIGN OFF:		OPTION MANAGER SIGN OFF:			
STP CASH RECEIVED	CLAIMS PAID	DI JOURNAL POSTED	JOURNAL NUMBERS REPORTED		DATE:	FILE CLOSED
					10/05/14	CLOSER



/S Norden A/S

DNORD DC

ISIN: DK0060083210

SEDOL: B1WP656

COUNTRY: DK

EX DATE: 24/04/2014

REC DATE: 28/04/2014

PAY DATE: 29/04/2014

CCY: DKK

GROSS RATE: 5.00

FEE: 0.00

## AGENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM?	NOTES
AUTOPA-AUTOP	Autoparts Pensions Group Trust	73%	37,000		135,050.00			
BLUOPA-AUTOP	Autoparts Pensions Group Trust	73%	145,000		532,900.00			
BLUEGR-BLUEG	Bluegrass Retirement Group Trust	73%	26,000		94,900.00			
BLUESR-BLUEG	Bluegrass Retirement Group Trust	73%	100,000		511,000.00			
BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	73%	36,000		131,400.00			
BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	73%	141,000		514,650.00			
AUTOPR-GRPTR	Casting Pensions Group Trust	73%	29,000		105,850.00			
CASTPE-GRPTR	Casting Pensions Group Trust	73%	187,000		536,550.00			
TECH0-00000	Central Technologies Pensions Group Trust	73%	31,000		113,150.00			
TECH0-00000	Central Technologies Pensions Group Trust	73%	137,000		500,050.00			
GOLDST-GAWSP	The Goldman Sachs Group PLC Global Profit Sharing Plan (USD SH)	73%	205,000		764,750.00			
INDUST-PENGP	Industrial Pension Group Trust	73%	22,000		80,300.00			
INDUST-PENGP	Industrial Pension Group Trust	73%	144,000		525,600.00			
INDPNA-BBPL	Indevco Associates Defined Benefit Plan	73%	22,500		117,125.00			
INDPNA-BBPL	Indevco Associates Defined Benefit Plan	73%	50,000		117,125.00			
SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	73%	34,000		124,100.00			
SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	73%	147,000		529,250.00			
TYSTER-PENGP	Tyster LLC Pension Plan	73%	240,000		875,000.00			
GLOBAL-EQY02	BNP Paribas Depot 778523F	73%		-345,000		-764,750.00		
DBEDF-IDMED	Equity Finance Vd (B) (BWH)	73%		-3,000,000		-3,650,000.00		
GLOBAL-EQY02	BNP Paribas Depot 778523F	73%	3,510,000		8,554,000.00			
GLOBAL-EQY02	BNP Paribas Depot 778523F	73%				-764,750.00		
TOTALS			4,265,000	-4,265,000	TOTALS	15,567,250.00	-15,567,250.00	

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM?	NET STK LOAN AMNT	PYMNT V/D	PYMNT IRNL NO
MAPLE-UKLTD	Maple Securities UK Ltd	85%	155,000		701,250.00		YES			
GOLDMA-LONDN	Goldman Sachs International	85%	50,000		212,500.00		YES			
POLLEN-FUNDO	POLLEN FUND LIMITED	85%		215,000		-913,750.00				
AUTOPA-AUTOP	Autoparts Pensions Group Trust	100%	3,000		35,000.00					
BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	2,000		10,000.00					



BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%	2,000	10,000.00	
CASTPE-GRPTR	Casting Pensions Group Trust	100%	9,000	45,000.00	
TECHIO-00000	Central Technologies Pensions Group Trust	100%	3,000	15,000.00	
INDUST-PENGP	Industrial Pension Group Trust	100%	4,000	20,000.00	
SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%	1,000	5,000.00	
TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	31,000	155,000.00	
TEWLP0-TEWLP	Tew LP Retirement Plan	100%	30,000	150,000.00	
POLLEN-FUN00	POLLEN FUND LIMITED	100%			-425,000.00
			-85,000		
SL TOTALS			300,000	300,000.00	
				TOTALS	1,338,750.00
					-1,338,750.00

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DN %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
GOLDST-LAWG	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO She	73%		-215,000		-784,750.00
GOLDST-BLOAN	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO She	73%	215,000		784,750.00	
LINDEN-ADBPL	Linden Associates Defined Benefit Plan	73%		-322,500		-1,177,125.00
LINDAS-BLOAN	Linden Associates Defined Benefit Plan - BLOAN	73%	322,500		1,177,125.00	
RIVERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%		-322,500		-1,177,125.00
RIVERS-BLOAN	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN - BLOAN	73%	322,500		1,177,125.00	
SWP TOTALS			860,000	-860,000		
				TOTALS	3,139,000.00	-3,139,000.00

PREPARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

CASH RECEIVED

CLAIMS PAID

D1 JOURNAL POSTED

JOURNAL NUMBERS UPDATED

DATE:

FILE CLOSED

CLOSER: